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ANNEX "A"

Summary of Security  
Clearance Investigation Processes

	<u>1972</u>	<u>1973</u>
<u>Records Checks by the S.S.</u>	63,126	59,847
<u>Field Inquiries</u> - S.S.	4,089	4,554
C.A.F.	4,667	3,663
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Total	71,882	68,064
 <u>Adverse Briefs</u>		
Loyalty	512 (1)	437
Reliability	296 (2)	203 (2)
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Total	808	640

- NOTES - (1) Twelve of these cases in 1972 were the result of C.A.F. inquiries on D.N.D. personnel.
- (2) Forty-five of these cases in 1972 and thirty in 1973 were the result of C.A.F. inquiries on D.N.D. personnel. C.A.F. do not keep statistics on "reliability" cases which were resolved internally, i.e. clearance granted; this is, therefore, not a true total of the "reliability" cases examined. D.N.D. rule on their own cases whereas the Security Service can only decide on cases which relate to the Force.

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ANNEX "B"

RECORD OF DECISIONS ON ADVERSE BRIEFS

	<u>1 9 7 2</u>		<u>1 9 7 3</u>	
	Loyalty	Reliability	Loyalty	Reliability
A. Dismissed	0	0	0	0
B. Permitted to Resign	1	3	0	2
C. Contract Terminated	2	1	0	0
D. Transferred	19-5 (1)	23-13 (1)	15	9-1 (1)
E. Denied Access	21-7 (1)	41-25 (1)	26	6-25 (1)
F. Denied Employment	27	26-7 (1)	10	33
G. Resolved Favorably	306	121	251	65-3 (1)
H. Resolved Administratively	84	6	52	19
I. Cases Outstanding (1 JAN 74)	35	30	83	39-1 (1)
J. Duplications	5	-	-	-
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Total Reported by Departments	500-12 (1)	251-45 (1)	437 (2)	173-30 (1)
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Total Reported by R.C.M.P.	500	258	436	257

(1) Indicates cases of D.N.D. (CAF) origin.

(2) One case of R.C.M.P. origin not reported in R.C.M.P.'s quarterly return.

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## NOTES

- Re line B: 1972 - Loyalty - subject resigned after the first interview of the C.D. 35 mandatory review procedure. Factor: activity with radical LEFT supported by photographs.
- 1972 - Reliability - two cases with minor criminal records but employment terminated voluntarily: one found a better job, the other moved from Ottawa. The third case concerned a laborer who was struck-off strength after failing to report to work for three consecutive days.
- 1973 - Reliability - case no. 1 concerned a female employee who quit her job to follow a fiancé with a criminal record. Case no. 2 involved some drinking and previous dismissal from the private sector on suspicion of theft, but release was effected during the probationary period on grounds of unsatisfactory performance. The decision was not influenced by security considerations.
- Re line C: 1972 - Loyalty - case no. 1 - suspected homosexual. Contract terminated as originally agreed when the project was completed. Case no. 2 concerned a man who held contracts with two departments but whose services proved of limited value. Contract in each instance was terminated as agreed. The security factor consisted of a short-lived sexual affair with a female public servant who had a similar affair with a male of subversive political concern. This factor was not invoked when the contract came up for renewal. The contractor simply did not live up to expectations.
- Re line D: "Transferred" means re-allocated to a less or non-sensitive position.
- Re line E: "Denied Access" should be interpreted as a compulsory transfer to non-sensitive employment.
- Re line F: "Denied Employment" - This would be done in the course of the employment or enrolment process (CAF) on the basis of "traces", hard information, or criminal record.
- Re line G: "Resolved Favorably" - This means that the adverse information was not judged to be an impediment and the required clearance was granted.

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- Re line H: "Resolved Administratively" - means that either the terms of the job were adjusted to remove the security implication or that no clearance was in fact required. It also includes cases which may have resolved themselves when applicants outside or within the public service succeeded in finding another job where clearance was not required. Some of these cases might also be included in line I.
- Re line I: "Cases Outstanding" - The figures for 1973 do not include the cases outstanding in 1972. The total of such cases is therefore 188.
- Re line J: "Duplications" - These represent cases which "floated" from one department or agency to another without being resolved.

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